

Formal Risk Summary

(Oxford)

As at: Jun-2015

Ref	Title	Risk			Date Raised	Owner	Gross		Current		Residual		Comments	Controls					
		Risk description	Opp/ threat	Cause			Consequence	I	P	I	P	I		P	Control description	Due date	Status	Progress	Action Owner
CRR-001-15/16	ICT	Resilience of ICT function - managing projects and improvements alongside business as usual	T	Numerous projects running concurrently across the Council all needing ICT support; limited resources with the correct level of skill, and some vacant posts within ICT	Inability to provide good quality and consistent service	1-Apr-2015	Helen Bishop	4	4	3	3	3	2		Agree new ICT strategy including principles for ICT projects and investment	1-Jun-2015	In Progress	100%	Paul Fleming
															Managing business as usual and developments as a single programme	31-Mar-2016	In Progress	60%	Helen Bishop
															Objective to embed ITIL processes	31-Mar-2016	In Progress	10%	Paul Fleming
															Objective to multi-skill	1-Dec-2015	In Progress	25%	Paul Fleming
															Procurement of ICT strategic partner will improve stability of service	31-Mar-2016	In Progress	60%	Helen Bishop
															Procurement of new ICT helpdesk, with potential for customers to self-service will ensure more efficient management of business as	31-Mar-2016	In Progress	10%	Paul Fleming
															Restructure will ensure resources aligned to requirements and that key posts are filled in ICT	1-Sep-2015	In Progress	40%	Helen Bishop
CRR-002-15/16	Budget and Income	Medium Term Financial Plan savings not delivered and pressures not anticipated or accurately recorded. Inability to accurately and timeline collect income	T	Ongoing Central Government cuts and current savings targets not being consistently met	Inability to produce a balanced budget, or further savings needing to be made in the future	1-Apr-2015	Nigel Kennedy	4	2	2	4	2	4		Annual review of the Medium Term Financial plan to confirm savings are deliverable and pressures recorded	1-Sep-2015	In Progress	20%	Nigel Kennedy
															Ensure action plans in place for delivery of savings	1-Sep-2015	In Progress	20%	Nigel Kennedy
															Ensure key stakeholders are kept upto date on progress of plan and monitoring	1-Sep-2015	In Progress	20%	Nigel Kennedy
															Produce accurate, timely monitoring reports	1-Sep-2015	In Progress	20%	Nigel Kennedy
CRR-004-15/16	Partnership Risk	Financial reduction in funding and impact on our partners	T	Reduction of the availability of funding for our partners to maintain their level of activity	reduced work with key partners to provide the Councils services	1-Apr-2015	David Edwards	3	5	3	5	2	4		Working with partners to mitigate impacts, seeking alternative capital funding through Government LGF support	31-Mar-2016	In Progress	30%	David Edwards

85

Formal Risk Summary

(Oxford)

As at: Jun-2015

Ref	Title	Risk description	Risk			Date Raised	Owner	Gross		Current		Residual		Comments	Controls				
			Opp/ threat	Cause	Consequence			I	P	I	P	I	P		Control description	Due date	Status	Progress	Action Owner
CRR-005-15/16	Recruitment and Retention	The risk of losing good quality staff and the inability to recruit into key posts with good quality staff	T	The inability to attract high calibre staff into key vacancies across the Council.	Key posts are left vacant or filled with temporary resources	1-Apr-2015	Simon Howick	3	4	3	3	2	2	Discussion paper written and under consideration which addresses issues related to key posts. Residual impact yet to be determined re this. Recruitment action plan being delivered	Agree retention measures & implement them	30-Sep-2015	In Progress	20%	Simon Howick
															Deliver recruitment action plan which aims to widen and diversify the pool of potential applicants and increase the chances of appointing the best candidate	3-Jul-2018	In Progress	25%	Simon Howick
															Identify key posts and agree action to recruit / retain appropriate to the market, including looking at different	30-Jun-2016	In Progress	20%	Simon Howick
CRR-003-15/16	Partnership Risk	Ability to engage with Partners	T	Difficulty in managing the relationships with key partners across the organisation to ensure smooth delivery of all Council services	Council services suffer due to a breakdown in the partnership	1-Apr-2015	Tim Sadler	2	3	2	2	1	2		Careful selection and management of key service delivery partners	31-Mar-2016	In Progress	80%	Tim Sadler
															Clarify position re: leases and funding for community	30-Jun-2015	In Progress	70%	Ian Brooke
															On going dialogue with key statutory partners	31-Mar-2016	In Progress	80%	Tim Sadler
CRR-006-15/16	Environmental	The impact of adverse environmental episodes on service delivery and the subsequent	T	Increase risk of flooding and other adverse weather conditions	Affecting service delivery, increased cost, resource shortfall	1-Apr-2015	Tim Sadler	1	1	2	3	2	1		Maintain early warning, training and cover arrangements	30-Mar-2016	In Progress	95%	Graham Bourton
															Support progress of Oxford Flood Alleviation Channel	31-Mar-2020	Not Started	40%	Tim Sadler

Current Risk Score

This is the risk score at the time that the risk is reviewed. When the risk is first identified it will be the same as the gross risk score. The current risk score is tracked to ensure that progress is being made to manage the risk and reduce the Council's exposure

Residual Risk Score

This is the risk score after mitigating actions have taken place. The residual risk score shows how effective your action plans are at managing the risk.

98